

02-26-02 A

J  
02/22/02  
U.S. PTOPlease type a plus sign (+) inside this box Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3866P010

First Inventor Ken Hittleman, et al.

Title SYSTEM AND METHOD TO TRANSFER AN APPLICATION TO A

Express Mail Label No. EV024657247US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 34]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 8]

5. Oath or Declaration [Total Pages 4]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all of the following are necessary)  
a.  Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper  
c.  Statements verifying identity of above copies

9.  Assignment Papers (cover sheet & document(s))

10.  37 C.F.R. § 3.73(b) Statement  
(when there is an assignee)  Power of Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: Dec/POA unsigned (4 pages). A copy of the postcard w/ express mail stamp (1 page).

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

\*08791\*

or  Correspondence address below

Name	Florin Corie			
	Blakely, Sokoloff, Taylor & Zafman LLP			
Address	12400 Wilshire Boulevard, Seventh Floor			
City	Los Angeles	State	California	Zip Code
Country	USA	Telephone	(408) 947-8200	Fax
Name (Print/Type)		Registration No. (Attorney/Agent)		46,244
Signature		Date 02/22/2002		

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments or the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$ 1,808.00)

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Ken Hittleman
Examiner Name	
Group/Art Unit	
Attorney Docket No.	3866P010

**METHOD OF PAYMENT** (check one)

Check  Credit card  Money Order  Other  None  
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of the application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	<b>740.00</b>
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		(\$ <b>740.00</b> )			

**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	56	- 20* = 36	X 18.00 =	<b>\$648.00</b>
Independent Claims	8	- 3* = 5	X 84.00 =	<b>\$420.00</b>
Multiple Dependent				

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>		(\$ <b>1,068.00</b> )			

\*\*or number previously paid, if greater. For Reissues, see below

**3. ADDITIONAL FEES**

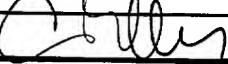
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130		205	65	Surcharge - late filing fee or oath	
	127	50		227	25	Surcharge - late provisional filing fee or cover sheet	
	139	130		139	130	Non-English specification	
	147	2,520		147	2,520	For filing a request for <i>ex parte</i> reexamination	
	112	920*		112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840*		113	1,840*	Requesting publication of SIR after Examiner action	
	115	110		215	55	Extension for reply within first month	
	116	400		216	200	Extension for reply within second month	
	117	920		217	460	Extension for reply within third month	
	118	1,440		218	720	Extension for reply within fourth month	
	128	1,960		228	980	Extension for reply within fifth month	
	119	320		219	160	Notice of Appeal	
	120	320		220	160	Filing a brief in support of an appeal	
	121	280		221	140	Request for oral hearing	
	138	1,510		138	1,510	Petition to institute a public use proceeding	
	140	110		240	55	Petition to revive - unavoidable	
	141	1,280		241	640	Petition to revive - unintentional	
	142	1,280		242	640	Utility issue fee (or reissue)	
	143	460		243	230	Design issue fee	
	144	620		244	310	Plant issue fee	
	122	130		122	130	Petitions to the Commissioner	
	123	50		123	50	Prosessing fee under 37 CFR 1.17(q)	
	126	180		126	180	Submission of Information Disclosure Stmt	
	581	40		581	40	Recording each patent assignment per property (times number of properties)	
	146	740		246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
	149	740		249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
	179	740		279	370	Request for Continued Examination (RCE)	
	169	900		169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Florin Corie	Registration No. (Attorney/Agent)	46,244	Telephone	(408) 947-8200
Signature				Date	02/22/2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response ( <u>Page</u> )	EE Inventor/Mail No. <u>EV024657247USK</u> Check No. <u>905</u>
<input type="checkbox"/> Appeal Brief ( <u>Page</u> ) (in triplicate)	Month(s) Extension of Time <u>Amc: \$1808.00</u>
<input type="checkbox"/> Application - Utility <u>34</u> page, one cover sheet, one abstract	Check No. <u>_____</u>
<input type="checkbox"/> Application - Design <u>1.53(b)</u> <u>_____</u>	Amc: <u>_____</u>
<input type="checkbox"/> Application - Rule 1.53(b) Divisional <u>_____</u>	Amc: <u>_____</u>
<input type="checkbox"/> Application - Rule 1.53(b) CFA <u>_____</u> PGP <u>_____</u>	Amc: <u>_____</u>
<input type="checkbox"/> Application - Rule 1.53(d) CFA Translated <u>_____</u>	Amc: <u>_____</u>
<input type="checkbox"/> Application - Drawing ( <u>Page</u> )	Amc: <u>_____</u>
<input type="checkbox"/> Application - PCT ( <u>Page</u> )	Amc: <u>_____</u>
<input type="checkbox"/> Application - Provisional ( <u>Page</u> )	Amc: <u>_____</u>
<input type="checkbox"/> Assignment and Cover Sheet	Amc: <u>_____</u>
<input type="checkbox"/> Assignment of Patent ( <u>Page</u> )	Amc: <u>_____</u>
<input type="checkbox"/> Assignment of PCT ( <u>Page</u> )	Amc: <u>_____</u>
<input type="checkbox"/> Assignment of Off & Ony Right ( <u>Page</u> )	Amc: <u>_____</u>
<input type="checkbox"/> Assignment of Right to File ( <u>Page</u> )	Amc: <u>_____</u>

RECORDED IN THE LIBRARY OF THE STATE OF ILLINOIS

"Express Mail" mailing label number: EV0241532471US

Date of Deposit: 2/22/02

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Patricia Richard

(Typed or printed name of person mailing paper or fee)

Price.

(Signature of person mailing paper or fee)

(Date signed)